



Attorney Docket No. 4017-03006
U.S. Serial No. 10/607,006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Surendra N. Naidoo et al.

Serial No. : 10/607,006

Filed: June 26, 2003

For: Security System

§
§ GROUP ART UNIT: 2632
§
§ EXAMINER : Unknown
§
§
§

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Commissioner:

This Information Disclosure Statement, including completed Form PTO-1449, comprises a list of pertinent art of which Applicants are aware.

Pursuant to 37 C.F.R. § 1.98 (d), copies of any patent, publication, pending U.S. application or other information, as specified in 37 C.F.R. § 1.98 (a), listed in the attached Form PTO-1449 are not provided herewith, as they have been previously submitted to, or cited by the Office, in U.S. Serial No. 09/357,196 filed July 20, 1999, the parent of the present application. The Information Disclosure Statement filed in U.S. Serial No. 09/357,196 complies with 37 C.F.R. § 1.98 (a)-(c).

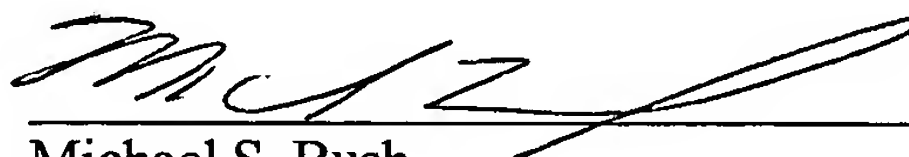
In the interest of full disclosure, the Applicants also wish to call the Examiner's attention to co-pending U.S. Patent Application Serial No. 10/603,508 filed June 25, 2003 entitled "Lifestyle Multimedia Security System" and assigned to the Assignee of the present application. A copy of each patent and publication cited in connection with Serial No. 10/603,508 and listed

on the attached Form PTO-1449 that has not been previously provided in connection with Serial No. 09/357,196, the parent of the present application, is enclosed herewith.

The submission of this Information Disclosure Statement and the references is not an admission that the art cited is "prior" with respect to the present invention, nor is it a representation, that no better art exists. Applicants hereby reserve the right to swear behind or otherwise disprove any alleged "prior" nature of any art cited should the facts support and the situation warrant such an action. It is submitted that the art cited does not constitute a bar to the patentability of Applicants' invention under 35 U.S.C. § 102 or § 103.

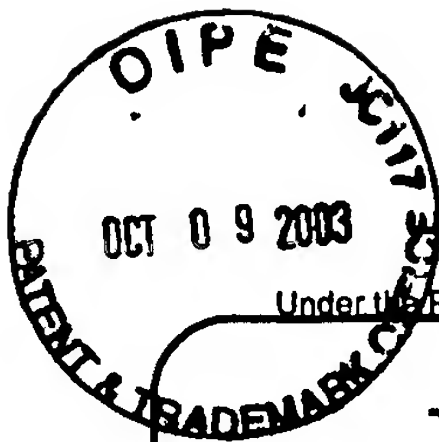
Respectfully submitted,

Date: OCTOBER 6, 2003



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ATTORNEY FOR APPLICANTS

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

9

Application Number

10/607,006

Filing Date

06/26/2003

First Named Inventor

Surendra N. Naidoo

Art Unit

2632

Examiner Name

Unknown

Attorney Docket Number

4017-03006

ENCLOSURES (Check all that apply)

- | | | |
|--|---|---|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance communication to Technology Center (TC) |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment/Reply | <input type="checkbox"/> Petition | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Power of Attorney, Revocation | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
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| <input checked="" type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Request for Refund | Return Receipt Postcard |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> CD, Number of CD(s) _____ | |
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENTFirm
or
Individual nameMichael S. Bush, Reg. No. 31,745
Conley Rose, P.C.

Signature

Date

OCTOBER 6, 2003

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name

Stephanie L. Hall

Signature

Date

10-6-03

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

FORM PTO 449 (Modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT Security System OCT 09 2003	ATTY. DOCKET NO. 4017-03006	SERIAL NO. 10/607,006
	APPLICANT Surendra N. Naidoo et al.	
	FILING DATE 06/26/2003	GROUP 2632

U. S. PATENT DOCUMENTS													
Examiner Initial		Patent Number							Issue Date	Patentee	Class	Sub-class	Filing Date (If Appropriate)
		5	2	8	3	8	1	6	02/01/94	Gomez Diaz	379	40	1/15/91
		5	4	0	6	2	6	0	04/11/95	Cummings, et al.	340	568	12/18/92
		5	4	1	4	8	3	3	05/09/95	Hershey, et al.	395	575	10/27/93
		5	4	5	2	3	4	4	09/19/95	Larson	379	107	11/16/93
		5	5	2	5	9	6	6	06/11/96	Parish	340	568	08/22/95
		R	E	3	5	2	6	8	06/11/96	Frolov, et al.	340	545	11/08/93
		5	5	3	4	8	4	5	07/09/96	Issa, et al.	340	425.5	09/16/92
		5	5	4	1	5	8	5	07/30/96	Duhamel, et al.	340	825.690	10/11/94
		5	5	4	3	7	7	8	08/06/96	Stouffer	340	539	04/19/93
		5	5	5	0	9	8	4	08/27/96	Gelb	395	200.17	12/07/94
		5	5	5	7	2	5	4	09/17/96	Johnson, et al.	340	426	11/16/93
		5	5	7	0	0	7	9	10/29/96	Dockery	340	541	04/24/95
		5	6	0	2	9	1	8	02/11/97	Chen, et al.	380	21	12/22/95
		5	6	0	4	4	9	3	02/18/97	Behlke	341	22	12/19/95
		5	6	0	6	6	1	5	02/25/97	Lapointe, et al.	380	25	05/16/95

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION															
			Document Number							Publication Date	Country or Patent Office	Class	Sub-Class	Translation	
														Yes	No
			WO	89/	1	1	1	8	7	11/16/89	PCT				
			WO	95/	1	3	9	4	4	05/26/95	PCT				
			WO	97/	1	3	2	3	0	04/10/97	PCT				
			WO	98/	2	5	2	4	3	06/11/98	PCT				

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)													
			GrayElectronics, http://www.gravelectronics.com/default.htm										
			visitalk.com – communication with vision, http://www.visitalk.com										
			Genex OmniEye, http://www.genextech.com/prod01.htm										
Examiner							Date Considered						
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.													

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		5	6	2	9	6	8	7	05/13/97	Sutton, et al.	340	825.37	08/29/94
		5	6	3	1	6	3	0	05/20/97	McSweeney	340	522	09/18/95
		5	6	3	8	0	4	6	06/10/97	Malinowski	340	539	02/13/95
		5	6	5	2	5	6	7	07/29/97	Traxler	340	552	08/22/95
		5	6	7	5	3	2	1	10/07/97	McBride	340	568	11/29/95
		5	6	8	0	1	3	1	10/21/97	Utz	341	176	10/29/93
		5	6	8	2	1	3	3	10/28/97	Johnson, et al.	340	426	06/07/95
		5	6	8	6	8	8	5	11/11/97	Bergman	340	514	09/28/95
		5	6	8	9	2	3	5	11/18/97	Sugimoto, et al.	340	541	04/02/96
		5	6	9	1	6	9	7	11/25/97	Carvalho, et al.	340	544	09/22/95
		5	6	9	6	4	8	6	12/09/97	Poliquin, et al.	340	506	11/16/95
		5	7	1	2	6	7	9	01/27/98	Coles	348	158	01/16/90
		5	7	1	4	9	3	3	02/03/98	Le Van Suu	340	568	01/11/96
		5	7	1	7	3	7	8	02/10/98	Malvaso, et al.	340	506	04/01/96
		5	7	1	9	5	5	1	02/17/98	Flick	340	426	08/22/96

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION															
			Document Number							Publication Date	Country or Patent Office	Class	Sub-Class	Translation	
														Yes	No
			WO	98/	5	2	3	4	3	11/19/98	PCT				
			WO	98/	5	9	2	5	6	12/30/98	PCT				
				3	4	9	9	3	4	09/21/98	Taiwan				
			2	3	2	5	5	4	8	11/25/98	Great Britain				

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)													
Examiner							Date Considered						
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.													

FORM PTO-449 (Modified) OCT 09 2003 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT Title: Security System	ATTY. DOCKET NO. 4017-03006	SERIAL NO. 10/607,006
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Examiner Initial		Patent Number							Issue Date	Patentee	Class	Sub-class	Filing Date (If Appropriate)
		5	7	3	6	9	2	7	04/07/98	Stebbins, et al.	340	506	06/07/95
		5	7	3	7	3	9	1	04/07/98	Dame, et al.	379	37	09/06/95
		5	7	2	6	9	1	2	03/10/98	Krall, Jr., et al.	364	550	09/06/96
		5	7	4	8	0	8	4	05/05/98	Isikoff	340	568	11/18/96
		5	7	4	8	0	8	9	05/05/98	Sizemore	340	574	08/13/96
		5	7	5	7	6	1	6	05/26/98	May, et al.	361	683	10/31/96
		5	7	6	1	2	0	6	06/02/98	Kackman	370	476	02/09/96
		5	7	7	4	0	5	1	06/30/98	Kostusiak	340	539	11/27/95
		5	7	8	4	4	6	1	07/21/98	Shaffer, et al.	380	21	05/23/96
		5	7	8	4	4	6	3	07/21/98	Chen, et al.	380	21	12/04/96
		5	7	9	3	0	2	8	08/11/98	Wagener, et al.	235	380	06/24/96
		5	7	9	3	7	6	3	08/11/98	Mayes, et al.	370	389	11/03/95
		5	8	0	5	0	5	6	09/08/98	Mueller, et al.	340	426	04/03/96
		5	8	0	5	0	6	4	09/08/98	Yorkey	340	550	12/30/96
		5	8	0	9	0	1	3	09/15/98	Kackman	370	253	02/09/96
		5	8	1	2	0	5	4	09/22/98	Cohen	340	506	05/05/95

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION															
			Document Number							Publication Date	Country or Patent Office	Class	Sub-Class	Translation	
														Yes	No
			2	3	2	0	6	4	4	06/24/98	Great Britain				
			2	2	0	3	8	1	3	06/27/96	Canada				
			2	1	7	4	4	8	2	10/19/97	Canada				
			1	0	0	8	9	3	9	10/01/96	Belgium				

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)													

Examiner	Date Considered
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EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO 1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE OCT 09 2003 Title: Security System	ATTY. DOCKET NO. 4017-03006	SERIAL NO. 10/607,006
	APPLICANT Surendra N. Naidoo et al.	
	FILING DATE 06/26/2003	GROUP 2632

U. S. PATENT DOCUMENTS													
Examiner Initial		Patent Number							Issue Date	Patentee	Class	Sub-class	Filing Date (If Appropriate)
		5	8	4	5	0	7	0	12/01/98	Ikudome	395	187.01	12/18/96
		5	8	5	4	5	8	8	12/29/98	Dockery	340	541	10/24/96
		5	8	5	9	9	6	6	01/12/99	Hayman, et al.	395	186	10/10/95
		5	8	6	1	8	0	4	01/19/99	Fansa, et al.	340	539	07/10/97
		5	8	7	7	6	9	6	03/02/99	Powell	340	825.32	04/09/96
		5	8	8	1	2	2	6	03/09/99	Veneklase	395	188.01	10/28/96
		5	8	9	2	4	4	2	04/06/99	Ozery	340	539	01/29/97
		5	8	9	8	8	3	1	04/27/99	Hall, et al.	395	187.01	12/16/96
		6	0	6	9	6	5	5	05/30/00	Seeley, et al.			

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION															
			Document Number							Publication Date	Country or Patent Office	Class	Sub-Class	Translation	
														Yes	No
			2	2	9	1	5	5	4	01/24/96	Great Britain				
			2	2	8	6	4	2	3	08/16/95	Great Britain				
			6	3	3	9	1	8	3	12/06/94	Japan				

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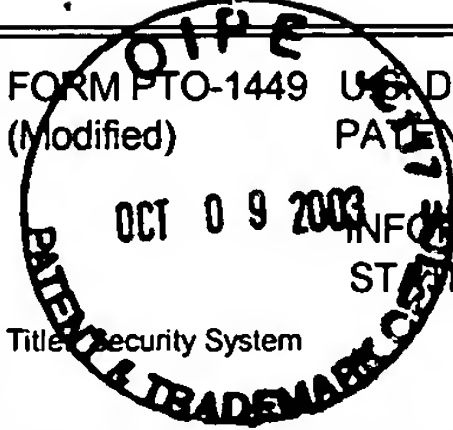
FORM PTO-1449 (Modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT Security System OCT 09 2003	ATTY. DOCKET NO. 4017-03006	SERIAL NO. 10/607,006
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		4	5	9	1	8	3	4	05/27/86	Kyle	340	566	11/25/83
		4	9	1	8	7	1	7	04/17/90	Bissonnette, et al.	379	40	08/23/88
		5	1	6	4	7	0	3	11/17/92	Rickman	340	515	05/02/91
		5	1	6	4	9	7	9	11/17/92	Choi	379	40	11/21/90
		5	4	0	0	0	1	1	03/21/95	Sutton	340	566	01/18/94
		5	4	1	4	4	0	9	05/09/95	Voosen, et al.	340	541	07/23/92
		5	4	4	8	2	9	0	09/05/95	VanZeeland	348	153	08/23/91
		5	5	1	0	9	7	5	04/23/96	Ziegler, Jr.	364	148	07/01/94
		5	5	2	6	4	2	8	06/11/96	Arnold	380	25	12/29/93
		5	5	7	2	4	3	8	11/05/96	Ehlers, et al.	364	492	01/05/95
		5	6	2	1	6	6	2	04/15/97	Humphries, et al.	364	550	02/15/94
		5	6	8	9	7	0	8	11/18/97	Regnier, et al.	395	682	03/31/95
		5	6	9	4	5	9	5	12/02/97	Jacobs, et al.	395	609	08/14/95
		5	6	9	6	8	9	8	12/09/97	Baker, et al.	395	187.01	06/06/95
		5	7	0	6	1	9	1	01/06/98	Bassett, et al.	364	138	05/23/97

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Document Number								Publication Date	Country or Patent Office	Class	Sub-Class	Translation	
												Yes	No
WO	02/	1	1	4	4	4		02/07/02	PCT				
WO	01	7	1	4	8	9		9/27/2001	PCT				

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Examiner Initial		Patent Number							Issue Date	Patentee	Class	Sub-class	Filing Date (If Appropriate)
		6	3	9	2	5	3	8	05/21/02	Shere	340	539	11/13/96
		5	9	3	3	0	9	8	08/03/99	Haxton	340	945	03/21/97
		5	8	8	0	7	7	5	03/09/99	Ross	348	143	01/24/97
		6	1	2	4	8	8	2	09/26/00	Voois, et al.	348	15	01/09/98
		4	9	6	2	4	7	3	10/09/90	Crain	364	900	12/09/88
		6	1	5	4	1	3	3	11/28/00	Ross, et al.	340	541	01/21/99
		5	6	2	5	4	1	0	04/29/97	Washino, et al.	348	154	04/07/95
		6	0	9	7	4	2	9	08/01/00	Seeley, et al.	348	154	08/01/97
		6	1	0	8	0	3	4	08/22/00	Kim	348	154	04/23/98
		6	2	2	6	0	3	1	05/01/01	Barracough, et al.	348	14.13	10/22/98
		5	5	4	6	4	4	7	08/1996	Sakarbo et al.	379	142.01	
		5	2	8	0	5	2	7	01/1994	Gullaman et al.	380	23	

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION															
			Document Number							Publication Date	Country or Patent Office	Class	Sub-Class	Translation	
														Yes	No
			02	00	10	69	20	9	A	03/2001	Japan				
			40	51	67	71	2	A		07/1993	Japan				
			41	00	04	45	1	A		01/1998	Japan				
			02	00	00	23	14	6	A	01/2000	Japan				
			02	00	01	00	63	43	A	01/2001	Japan				

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		5	8	0	1	6	1	8	09/01/98	Jenkins	340	426	02/07/97
		5	8	6	7	4	8	4	02/02/99	Shaunfield	370	254	01/31/97
		5	9	2	6	2	0	9	07/20/99	Glatt	348	143	04/14/95
		6	0	0	2	4	3	0	12/14/99	McCall, et al.	348	207	05/27/97
		6	0	9	1	7	7	1	07/18/00	Seeley, et al.	375	154	08/01/97

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			Document Number							Publication Date	Country or Patent Office	Class	Sub-Class	Translation	
														Yes	No
			2	3	2	5	5	4	8A	11/1998	UK				
			3	6	3	0	3	30	88A	2/1988	Japan				

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